

## Importance

- DVT (deep vein thrombosis) is a blood clot in a deep vein.
- DVT is associated with life threatening pulmonary embolism (PE). PE occurs when the blood clot breaks away and travels to the lungs. DVT is also associated with residual venous obstruction and chronic pain and swelling.
- Venous thromboembolism (VTE) includes DVT, PE, and post-thrombotic syndrome (PTS). Per year patient costs are almost \$16,000 more for patients with VTE.<sup>1</sup>

## VTE and Ambulatory Surgery

- Some procedures most commonly performed in the ambulatory setting have relatively high risks for VTE. Examples of estimated risks include:

For aesthetic procedures:	For orthopaedic procedures:
abdominoplasty - 2% <sup>2</sup>	spine surgery - 1.15% <sup>6</sup>
body contouring - 9.3% <sup>3</sup>	knee arthroscopy - 0.42% <sup>7</sup>
face lifts - 0.49% <sup>4</sup>	
high volume liposuction - 1.1% <sup>5</sup>	

For otolaryngology procedures the risk is 1.3%.

- For a Caprini score (see Caprini Thrombosis Risk Assessment Tool) over 8, the incidence increases to 18.3%.<sup>8</sup>

## Selected References

1. Lefebvre P, Laliberté F, Nutescu EA, Duh MS, Lamori J, Bookhart BK, Olson WH, Dea K, Schein J, Kaatz S. All-cause and potentially disease-related health care costs associated with venous thromboembolism in commercial, medicare, and medicaid beneficiaries. *J Manag Care Pharm*. 2012. 18:363-374.
2. Most D, Kozlow J, Heller J, Shermak M: Thromboembolism in plastic surgery. *Plast Reconstr Surg*. 2005. 115:20e-30e.
3. Clavijo-Alvarez JA, Pannucci CJ, Oppenheimer AJ, Wilkins EG, Rubin JP. Prevention of venous thromboembolism in body contouring surgery: a national survey of 596 ASPS surgeons. *Ann Plast Surg*. 2011. 66:228-232.
4. Reinisch JF, Bresnick SD, Walker JW, Rosso RF: Deep venous thrombosis and pulmonary embolus after face lift. *Plast Reconstr Surg*. 2001.107:1570-1575.
5. Hsu P, Basu CB, Venturi M, Davison S. Venous thromboembolism prophylaxis. *Semin Plast Surg*. 2006. 20:225-32.
6. Sansone JM, del Rio AM, Anderson PA. The prevalence of and specific risk factors for venous thromboembolic disease following elective spine surgery. *J Bone Joint Surg Am*. 2010. 92:304-313.
7. Maletis GB, Inacio MC, Reynolds S, Funahashi TT. Incidence of symptomatic venous thromboembolism after elective knee arthroscopy. *J Bone Joint Surg Am*. 2012. 94:714-720.
8. Shuman AG, Hu HM, Pannucci CJ, Jackson CR, Bradford CR, Bahl V. Stratifying the risk of venous thromboembolism in otolaryngology. *Otolaryngol Head Neck Surg*. 2012. 146:719-724.
9. Rosen AK, Mull HJ, Kaafarani H, Nebeker J, Shimada S, Helwig A, Nordberg B, Long B, Savitz LA, Shanahan CW, Itani K. Applying trigger tools to detect adverse events associated with outpatient surgery. *J Patient Saf*. 2011. 7:45-59.
10. Spring MA, Gutowski KA. Venous thromboembolism in plastic surgery patients: survey results of plastic surgeons. *Aesthet Surg J*. 2006. 26:522-529.

11. Rohrich R, Rios J: Venous thromboembolism in cosmetic plastic surgery. *Plast Reconstr Surg*. 2003. 112:871-872.
12. Murphy RX, Schmitz D, Rosolowski K. Evidence-based Practices for Thromboembolism Prevention:A Report from the ASPS Venous Thromboembolism Task Force. 2011. [http://www.plasticsurgery.org/Documents/medical-professionals/health-policy/key-issues/ASPS\\_VTE\\_Report.pdf](http://www.plasticsurgery.org/Documents/medical-professionals/health-policy/key-issues/ASPS_VTE_Report.pdf)
13. American Urological Association Education and Research, Inc. Best practice policy statement for the prevention of deep vein thrombosis in patients undergoing urologic surgery. Linthicum (MD): American Urological Association Education and Research, Inc.; 2008. <http://www.guidelines.gov/content.aspx?id=13433&search=dvt+prevention+and+surgery>
14. Gould MK, Garcia DA, Wren SM, Karanicolas PJ, Arcelus JI, Heit JA, Samama CM. Prevention of VTE in nonorthopedic surgical patients: antithrombotic therapy and prevention of thrombosis, 9th ed: American College of Chest Physicians evidence-based clinical practice guidelines. *Chest*. 2012. 141(2 Suppl):e227S-77S. <http://www.guidelines.gov/content.aspx?id=35264&search=dvt>
15. Falck-Ytter Y, Francis CW, Johanson NA, Curley C, Dahl OE, Schulman S, Ortel TL, Pauker SG, Colwell CW Jr. Prevention of VTE in orthopedic surgery patients: antithrombotic therapy and prevention of thrombosis, 9th ed: American College of Chest Physicians evidence-based clinical practice guidelines. *Chest*. 2012, 141(2 Suppl):e278S-325S. <http://www.guidelines.gov/content.aspx?id=35265&search=dvt+prevention+and+surgery>
16. American Association of Neuroscience Nurses (AANN). Thoracolumbar spine surgery: a guide to preoperative and postoperative patient care. Glenview (IL): American Association of Neuroscience Nurses (AANN); 2012. <http://www.guidelines.gov/content.aspx?id=35210&search=dvt+prevention+and+surgery>
17. NASS Evidence-based Guideline Development Committee. Antithrombotic therapies in spine surgery. Burr Ridge (IL): North American Spine Society (NASS); 2009. <http://www.guidelines.gov/content.aspx?id=14724&search=dvt+prevention+and+surgery>
18. American College of Obstetricians and Gynecologists (ACOG). Prevention of deep vein thrombosis and pulmonary embolism. Washington (DC): American College of Obstetricians and Gynecologists (ACOG); 2007 Aug. 12 p. (ACOG practice bulletin; no. 84). [75 references] <http://www.guidelines.gov/content.aspx?id=11429&search=dvt>
19. Pannucci CJ, Shanks A, Moote MJ, Bahl V, Cederna PS, Naughton NN, Wakefield TW, Henke PK, Campbell DA, Kheterpal S. Identifying patients at high risk for venous thromboembolism requiring treatment after outpatient surgery. *Ann Surg*. 2012. 255:1093-1099.
20. Thrombotic Risk Assessment: A Hybrid Approach. 2005. <http://www.venousdisease.com/Publications/JACaprini-HybridApproach3-10-05.pdf> see pages 29-30.
21. Pannucci CJ, Bailey SH, Dreszer G, Fisher Wachtman C, Zumsteg JW, Jaber RM, Hamill JB, Hume KM, Rubin JP, Neligan PC, Kallianen LK, Hoxworth RE, Pusic AL, Wilkins EG. Validation of the Caprini risk assessment model in plastic and reconstructive surgery patients. *J Am Coll Surg*. 2011. 212:105-112.
22. Geerts WH, Pineo GF, Heit JA, et al. Prevention of venous thromboembolism. *Chest*. 2004. 126:3385-4005.
23. Davison S, Venturi M, Attiger C, Baker S, Spear S: Prevention of venous thromboembolism in the plastic surgery patient. *Plast Reconstr Surg* 114(3):43e-51e, 2004
24. [http://www.thedoctors.com/KnowledgeCenter/PatientSafety/articles/CON\\_ID\\_000193](http://www.thedoctors.com/KnowledgeCenter/PatientSafety/articles/CON_ID_000193)
25. Sansone JM, del Rio AM, Anderson PA. The prevalence of and specific risk factors for venous thromboembolic disease following elective spine surgery. *J Bone Joint Surg Am*. 2010. 92:304-313.
26. Michot M, Conen D, Holtz D, Erni D, Zumstein MD, Ruffin GB, Renner N. Prevention of deep-vein thrombosis in ambulatory arthroscopic knee surgery: A randomized trial of prophylaxis with low--molecular weight heparin. *Arthroscopy*. 2002. 18:257-263.
27. Stein PD, Beemath A, Matta F, et al: Clinical characteristics of patients with acute pulmonary embolism: Data from PIOPED II. *Am J Med*. 2007. 120: 871-879.
28. Ho VB, van Geertruyden PH, Yucel EK, Rybicki FJ, Baum RA, Desjardins B, Flamm SD, Foley WD, Jaff MR, Koss SA, Mammen L, Mansour MA, Mohler ER III, Narra VR, Schenker MP, Expert Panel on Vascular Imaging. ACR Appropriateness Criteria® suspected lower extremity deep vein thrombosis. [online publication]. Reston (VA): American College of Radiology (ACR); 2010. <http://www.guidelines.gov/content.aspx?id=23831&search=dvt#Section420>
29. Bettmann MA, Baginski SG, White RD, Woodard PK, Abbara S, Atalay MK, Dorbala S, Haramati LB, Hendel RC, Martin ET III, Ryan T, Steiner RM, Expert Panel on Cardiac Imaging. ACR Appropriateness Criteria® acute chest pain - suspected pulmonary embolism. [online publication]. Reston (VA): American College of Radiology (ACR); 2011. <http://www.guidelines.gov/content.aspx?id=35135&search=dvt#Section420>
30. Spirk D, Banyai M, Jacomella V, Frank U, Baldi T, Baumgartner I, Amann-Vesti B, Kucher N, Husmann M. Outpatient management of acute deep vein thrombosis: results from the OTIS-DVT registry. *Thromb Res*. 2011 127:406-410.

# Patient Safety Toolkit: Ambulatory Surgery and VTE (Venous Thromboembolism)

AAAHC Institute for Quality Improvement

5250 Old Orchard Road, Suite 250, Skokie, Illinois 60077

Phone: 847.853.6060 | Fax: 847.853.6118 | [www.aaahc.org/institute](http://www.aaahc.org/institute)

# Patient Safety Toolkit: Ambulatory Surgery and VTE (Venous Thromboembolism)

## PRE-PROCEDURE SCREENING

- Screening for risk of VTE has been shown to have a high positive predictive value.<sup>9</sup>
- There are published recommendations on screening and chemoprophylaxis; however, there are documented gaps in care because of concern with bleeding risk and perceived lack of evidence and lack of awareness of these published recommendations.<sup>3, 10, 11</sup> National health care organizations have issued several guidelines regarding VTE over the last decade.<sup>12, 13, 14, 15, 16, 17, 18</sup>

- It has been demonstrated that a systematic institution-wide assessment of all patients is necessary to accurately identify those patients with significant intrinsic risk factors. One of the most extensively tested assessments of VTE risk factors is the Caprini Thrombosis Risk Factor Assessment. Other patient factors include: co-morbidities (CHF, COPD, etc) and family history/congenital thrombolytic issues, history of DVT and surgical factors (such as arthroscopy or arthroplasty), and family history.<sup>7, 20, 21</sup>
- Common risks identified by another source include: smoking and inflammatory bowel disease.<sup>22</sup>

## PREVENTION

- Positioning** - Flex the patient's knees to approximately five degrees by placing a pillow underneath them.<sup>2, 11</sup>
- Compression** - Elastic stockings or intermittent pneumatic compression devices (IPCs). Surgeons should be aware that many offices now have intermittent compression machines, having purchased them new or used, leased them, or rented them on a case-by-case basis.<sup>24</sup> IPCs placed and operational before the induction of anesthesia (especially for lengthy procedures or those performed under general anesthesia) may decrease the DVT risk of procedures performed by 28%.<sup>23</sup>
- Discontinue supplemental hormones one week prior to the procedure.<sup>2</sup>
- Chemoprophylaxis** - Anticoagulants such as low-molecular-weight heparins (LMWH) given two hours before surgery, have been shown to protect patients throughout the peri-operative period. The risks of DVT must always be weighed against the risk of increased bleeding in any given patient. Prophylaxis should be provided for 7-10 days, or at least until resumption of normal ambulation, because the median time-to-event has been reported as 8 days.<sup>19, 25, 26</sup>
- Anesthesia** - Immobility associated with general anesthesia may be a significant risk factor for VTE. Intravenous sedation including propofol can allow surgeons to perform lengthy surgeries without general anesthesia.
- Stage multiple procedures** - The length of the procedure itself increases the risk for many complications including VTE.
- Early ambulation** - This should occur at the facility and be a part of post-discharge instructions.

## CAPRINI THROMBOSIS RISK FACTOR ASSESSMENT TOOL\*

\*For more information or to download scoring sheets, visit [www.ISMS.org](http://www.ISMS.org)

<b>Add 1 point for each of the following statements that apply now or within the past month:</b>			
<input type="checkbox"/> Age 41– 60 years	<input type="checkbox"/> A history of Inflammatory Bowel Disease (IBD) e.g., Crohn's disease or ulcerative colitis	<input type="checkbox"/> Heart attack	<input type="checkbox"/> On bed rest or restricted mobility, including a removable leg brace for less than 72 hours
<input type="checkbox"/> Minor surgery (less than 45 minutes) is planned	<input type="checkbox"/> Swollen legs (current)	<input type="checkbox"/> Congestive heart failure	<input type="checkbox"/> Other risk factors (1 point each)*
<input type="checkbox"/> Past major surgery (more than 45 minutes) within the last month	<input type="checkbox"/> Overweight or obese (BMI>25)	<input type="checkbox"/> Serious infection e.g., pneumonia	<small>*Additional risk factors not tested in the validation studies but shown in the literature to be associated with thrombosis include BMI above 40, smoking, diabetes requiring insulin, chemotherapy, blood transfusions, and length of surgery over 2 hours.</small>
<input type="checkbox"/> Visible varicose veins		<input type="checkbox"/> Lung disease e.g., emphysema or COPD	
<b>Add 2 points for each of the following statements that apply:</b>		<b>Add 3 points for each of the following statements that apply:</b>	
<input type="checkbox"/> Age 61–74 years	<input type="checkbox"/> Tube in blood vessel in neck or chest that delivers blood or medicine directly to heart within the last month (also called central venous access, PICC line, or port)	<input type="checkbox"/> Age 75 or over	<input type="checkbox"/> Personal or family history of positive blood test indicating an increased risk of blood clotting
<input type="checkbox"/> Current or past malignancies (excluding skin cancer, but not melanoma)	<input type="checkbox"/> Confined to a bed for 72 hours or more	<input type="checkbox"/> History of blood clots, either DVT or PE	
<input type="checkbox"/> Planned major surgery lasting longer than 45 minutes (including laparoscopic and arthroscopic)		<input type="checkbox"/> Family history of blood clots (thrombosis)	
<input type="checkbox"/> Non-removable plaster cast or mold that has kept you from moving your leg within the last month			
<b>For women only: Add 1 point for each of the following statements that apply:</b>		<b>Add 5 points for each of the following statements that apply now or within the past month:</b>	
<input type="checkbox"/> Current use of birth control or Hormone Replacement Therapy (HRT)	<input type="checkbox"/> History of unexplained stillborn infant, recurrent spontaneous abortion (more than 3), premature birth with toxemia or growth restricted infant	<input type="checkbox"/> Elective hip or knee joint replacement surgery	<input type="checkbox"/> Spinal cord injury resulting in paralysis
<input type="checkbox"/> Pregnant or had a baby within the last month		<input type="checkbox"/> Broken hip, pelvis or leg	<input type="checkbox"/> Experienced a stroke
		<input type="checkbox"/> Serious trauma e.g., multiple broken bones due to a fall or car accident	

**Score Risk Level**  
 0-2 Low  
 3-8 Increasing  
 >8 18.3%<sup>8</sup>

## SIGNS, SYMPTOMS, AND MANAGEMENT

- DVT signs include warmth, tenderness, and swelling. PE is associated with difficulty breathing and chest pain.<sup>27</sup> Physicians should be suspect VTE when patients exhibit these symptoms and have recently had any surgery.
- These can be life threatening situations; rapid diagnosis and treatment is very important.
- Suspicious should lead to immediate testing. The test recommended to confirm DVT is ultrasound with Doppler.<sup>28</sup> Chest x-rays are recommended to confirm PE.<sup>29</sup>
- Treatment: DVT may be treated with thrombolytics in the outpatient; however this requires careful patient selection and there are a number of factors that may lead to inpatient treatment options.<sup>30</sup>

